

**2013 Statistical Reports of
Disciplinary Committees**

First Department

ATTORNEY DISCIPLINE ACTIVITIESPERIOD COVERED: ANNUAL 2013

FIRST JUDICIAL DISTRICT

FIRST DEPARTMENT

I. MATTERS PROCESSED:

A. Matters Pending at Start of Period	<u>914</u>	
B. New Matters During Period	<u>5320*</u>	
C. Closed Matters Reactivated During Period	<u>79</u>	
D. Total Matters to be Processed During Period (A+B+C)		<u>6313*</u>
E. Total Matters Disposed of During Period		<u>5265*</u>
F. Matters Pending at End of Period		<u>1048</u>

II MATTERS DISPOSED OF BY COMMITTEE:

	Cases ^②	Matters
A. Rejected as Failing to State a Complaint	<u>318</u>	<u>331</u>
B. Referred to Other Disciplinary Committees	<u>346</u>	<u>356</u>
C. Referred to Other Agencies	<u>125</u>	<u>131</u>
D. Dismissed or Withdrawn	<u>1632</u>	<u>1719</u>
E. Dismissed through Mediation	<u>51</u>	<u>66</u>
F. Letter of Caution	<u>n/a</u>	<u>n/a</u>
G. Letter of Admonition	<u>66</u>	<u>71</u>
H. Reprimand (after hearing)	<u>0</u>	<u>0</u>
I. Referred to Appellate Division (Disc. Proc.)	<u>129</u>	<u>177</u>
Total Disposed of During Period (same as I.E above.)	<u>2667</u>	<u>2851</u>

III. CASES PROCESSED IN ALL COURTS:

A. Cases Pending at Start of Period		<u>47</u>
1. Disciplinary Proceedings	<u>39</u>	
2. Other	<u>8</u>	
B. Cases Received During Period		<u>298</u>
1. Disciplinary Proceedings	<u>95</u>	
2. Other	<u>203</u>	
C. Total to be Processed During Period		<u>345</u>

*Includes 2387 attorneys suspended for nonpayment of registration fees.

ATTORNEY DISCIPLINE ACTIVITIES (01/13-12/13)

D.	Cases Closed		
	1. Disbarred	29	
	2. Disciplinary Resignations	7	
	3. Suspended ^③	35	
	4. Censured	16	
	5. Privately Censured ^④	0	
	6. Remanded to Disciplinary Committee	9	
	7. Discontinued	4	
	8. Dismissed	0	
	9. Reinstatements Granted ^⑤	114	
	10. Reinstatements Denied	6	
	11. Non-Disciplinary Resignations	58	
	12. All Other Dispositions	35	
	13. Total Closed		313
E.	Total Cases Pending at End of Period		30
	1. Disciplinary Proceedings	19	
	2. Other	11	

① "Matter" includes complaints, inquiries (excluding telephone inquiries) and Sua Sponte investigations.

② Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

③ Includes (16) definite, (16) interim and (3) indefinite suspensions.

④ Reported as "Privately Reprimanded" in the 1st Department.

⑤ Includes (17) disciplinary reinstatements and (95) 468-a reinstatements.

Second Department

ATTORNEY DISCIPLINARY ACTIVITIES
PERIOD COVERED -- JANUARY 1, 2013 through December 31, 2013
ANNUAL

SECOND, ELEVENTH AND THIRTEENTH JUDICIAL DISTRICTS

SECOND DEPARTMENT

I. MATTERS PROCESSED:

A.	Matters Pending at Start of Period	1,002
B.	New Matters During Period	1,865
C.	Closed Matters Reactivated During Period	16
D.	Total Matters to be Processed During Period (A+B+C)	2,883
E.	Total Matters Disposed of During Period	1,962
F.	Matters Pending at End of Period	921

II. MATTERS DISPOSED OF BY COMMITTEE:

	<u>CASES*</u>	<u>MATTERS**</u>	
A.	Rejected as Failing to State a Complaint	1,080	1,080
B.	Referred to Other Disciplinary Committees	333	333
C.	Referred to Other Agencies	63	64
D.	Dismissed or Withdrawn	172	182
E.	Dismissed through Mediation	4	4
F.	Letter of Caution	85	85
G.	Letter of Admonition	37	63
H.	Reprimand	1	1
I.	Referred to Appellate Division (Disc. Proc.)	37	134
J.	Other***	9	9
Total Disposed of During Period (Same as I E above)		1,821	1,962

III. CASES PROCESSED IN ALL COURTS:

A.	Cases Pending at Start of Period	64
	1. Disciplinary Proceedings****	36
	2. Other*****	28
B.	Cases Received During Period	96
	1. Disciplinary Proceedings	40
	2. Other	56
C.	Total to be Processed During Period	160

D. Cases Closed

1.	Disbarred	<u>14</u>
2.	Disciplinary Resignations	<u>5</u>
3.	Suspended*****	<u>19</u>
4.	Censured	<u>2</u>
5.	Privately Censured	<u>0</u>
6.	Remanded to Grievance Committee	<u>1</u>
7.	Discontinued	<u>0</u>
8.	Dismissed	<u>0</u>
9.	Reinstatement Granted	<u>8</u>
10.	Reinstatement Denied	<u>7</u>
11.	Non-Disciplinary Resignations	<u>9</u>
12.	All other Dispositions	<u>29</u>
13.	Total Closed	<u>94</u>


Total Cases Pending at End of Period 66

E.

1.	Disciplinary Proceedings	<u>43</u>
2.	Other	<u>23</u>

Dated: Brooklyn, New York
5-Feb-14

Respectfully Submitted,


Diana Maxfield Kears
Chief Counsel

* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

** Matters represents individual complaints, inquiries and sua sponte investigations. This does not include telephone inquiries.

*** Other for the purposes of Part II includes files closed for any reason not otherwise covered under Sections A -I (e.g., files pending but not included within the disciplinary proceeding at the time of respondent's disbarment; files closed pending outcome of ongoing litigation; files closed due to respondent's death).

**** Disciplinary Proceeding includes only those proceedings before the Court which may result in final discipline including: any Court ordered disciplinary proceeding, motion to strike for felony conviction, reciprocal discipline, resignation under investigation.

***** Other for the purposes of Part III includes all other proceedings before the Court which may not result in final discipline (e.g., motion for interim suspension, petition for authorization, application for medical exam, application for conservator, motion to reargue, appeals, application for reinstatement, notification to Court of criminal conviction, voluntary resignation and request for disclosure order).

*****Suspended includes definite, interim, and indefinite suspensions.

Brooklyn Bar Association

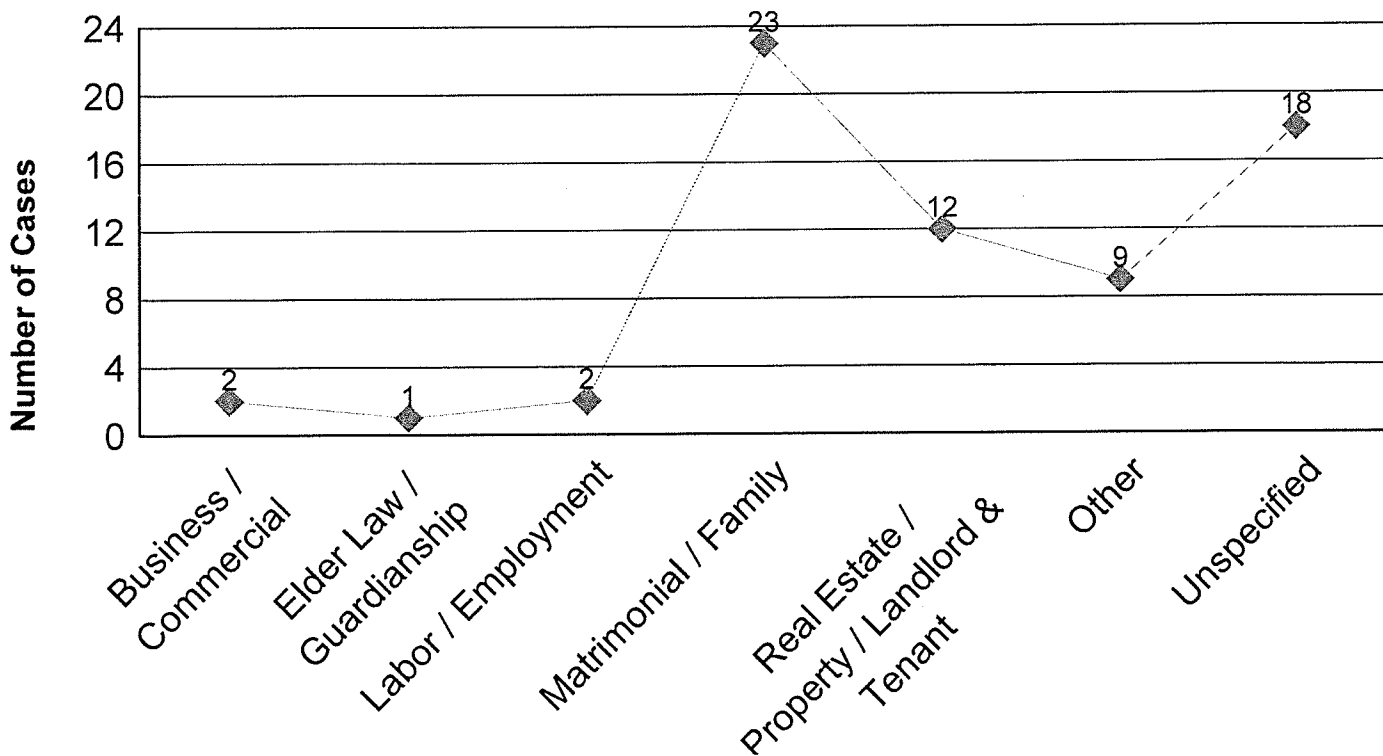
Quarterly Activity Report: 2013

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter	Total
Cases Closed	26	22	15	4	67
Average Number of Weeks from Intake to Disposition	36.4	47.1	25.9	25.8	37.1
<u>Cases Arbitrated or Settled During Arbitration</u>					
Cases Assigned to One Arbitrator	10	2	7	1	20
Cases Assigned to Three Arbitrators	2	15	5	2	24
Total Admin. Fees Collected from Parties	\$6,300.00	\$6,650.00	\$4,200.00	\$1,050.00	\$18,200.00
Average Amount in Dispute (All Cases)	\$4,325.73	\$14,882.64	\$5,245.27	\$12,549.25	\$8,489.00

Filing Parties

Client	Not Reported
60	7

Case Type Information



Disposition Information

	Number of Cases
Arbitrated - Award Issued	23
Arbitrated - No Award Issued	10
Mediated - No Settlement Reached	1
Settled During Arbitration	11
Settled Prior to Arbitration or Mediation	5
Claim Withdrawn	2
Lack of Jurisdiction	15
Total	67

Cases Dismissed for Lack of Jurisdiction

	Number of Cases
<i>Amount in Dispute > \$50,000</i>	1
<i>Amount in Dispute < \$1,000</i>	1
<i>Other</i>	13



Organized 1876

QUEENS COUNTY BAR ASSOCIATION

90-35 148th Street, Jamaica, New York 11435 • (718) 291-4500 • Fax: (718) 657-1789 • WWW.QCBA.ORG

September 4, 2014

JOSEPH CAROLA, III
President

Paul E. Kerson
President-Elect

Jennifer M. Gilroy-Ruiz
Vice President

Gregory J. Newman
Secretary

Gregory J. Brown
Treasurer

Diana Maxfield Kears, Chief Counsel
State of New York Grievance Committee
For the Second, Eleventh and Thirteenth Judicial Districts
Renaissance Plaza, Suite 2400
335 Adams Street
Brooklyn, NY 11201

Re: Grievance Committee
Annual Statistics – 2013

Dear Ms. Kears:

Pursuant to the usual request of your office find the following statistics covering the above captioned period.

Dismissals – 3
Dismissal with Letter of Advisement – 2
Dismissals with Letter of Caution – 0
Referred to the NYS Grievance Committee for appropriate action and disposition – 0
Withdrawn – 1
Matters tabled by the Committee – 1

I hope these statistics will help you in making your report. If any further information is needed please call me.

Yours truly,

ARTHUR N. TERRANOVA
Executive Director

Class of 2015
Karina E. Alomar
Richard M. Gutierrez
Richard H. Lazarus
Gary F. Miret
James R. Pieret

Class of 2016
Michael D. Abneri
Frank Bruno, Jr.
Marie-Eleana First
Hilary Gingold
Joseph Risi

Class of 2017
Joseph F. DeFelice
Adam M. Orlow
Paula Pavlides
Lourdes M. Ventura
Clifford M. Welden

Arthur N. Terranova
Executive Director

RECEIVED
17 SEP -2 PM 3:00
STATE OF NEW YORK
JUDICIAL OFFICE

OVER 138 YEARS OF DEDICATED SERVICE

Grievance Committee
Richmond County Bar Association

14 FEB 13 PM 1:21

RECEIVED

CLAIRE CODY MILLER
CO-CHAIRPERSON
1 Edgewater Plaza, Suite 701
Staten Island, New York 10305
718-815-2003, Ext. (2)

VICTOR T. CARASANITI
CO-CHAIRPERSON
1610 Richmond Road
Staten Island, New York 10304
718-979-0900

Steven J. Finkelstein
Secretary

January 23, 2014

Diana Maxfield Kears, Esq.
Chief Counsel
State of New York Grievance Committee
Second, Eleventh and Thirteenth Districts
Renaissance Plaza
335 Adams Street – Suite 2400
Brooklyn, New York 11201-3745

Re: **2013 Richmond County Grievance Committee Report**

Dear Ms. Kears:

For the period commencing January 1, 2013 through December 31, 2013, the Grievance Committee received twenty one new complaints.

Thirteen of those complaints were retained by the Appellate Division Grievance Committee for investigation.

Eight of the complaints were referred back to our committee for investigation. Of those eight complaints, three complaints have been dismissed or withdrawn. The remaining five continue to be investigated.

Should you have any further questions please do not hesitate to contact me.

Very truly yours,


Claire Cody Miller
Co-Chair Grievance Committee

ATTORNEY DISCIPLINARY ACTIVITIES
 YEAR-END 2013 (January 1 - December 31, 2013)

NINTH JUDICIAL DISTRICT

SECOND DEPARTMENT

I. MATTERS PROCESSED:

	<u>Cases</u>	<u>Matters</u>
A. Matters Pending at Start of Period	899	730
B. New Matters During Period	1112	1112
C. Closed Matters Reactivated During Period	95	95
D. Total Matters to be Processed During Period (A+B+C)	2106	1937
E. Total Matters Disposed of During Period	1310	1441
F. Matters Pending at End of Period	796	496

II. MATTERS DISPOSED OF BY COMMITTEE:

	<u>Cases</u>	<u>Matters</u>
A. Rejected as Failing to State a Complaint	485	485
B. Referred to Other Disciplinary Committees	243	243
C. Referred to Other Agencies	48	48
D. Dismissed or Withdrawn	296	296
E. Dismissed through Mediation	0	0
F. Letter of Caution	113	113
G. Letter of Admonition	47	47
H. Reprimand	1	1
I. Referred to Appellate Division (Disc. Proc.)	50	181
J. Other	27	27
 Total Disposed of During Period (same as I E above):	 1310	 1441

III. CASES PROCESSED IN ALL COURTS:

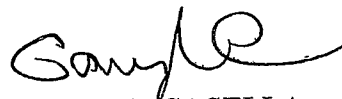
	<u>Cases</u>	<u>Matters</u>
A. Cases Pending at Start of Period	60	182
1. Disciplinary Proceedings	26 (43)	
2. Other	34 (139)	
 B. Cases Received During Period	 51	 182
1. Disciplinary Proceedings	27 (108)	
2. Other	24 (74)	
 C. Total to be Processed During Period	 111	 364

ATTORNEY DISCIPLINARY ACTIVITIES

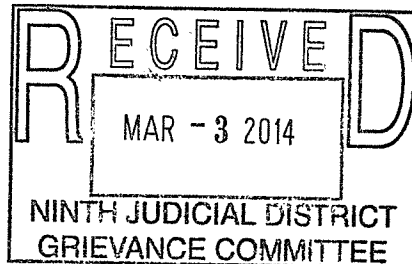
	<u>Cases</u>	<u>Matters</u>
D. Cases Closed		
1. Disbarred	6	6
2. Disciplinary Resignations	2	5
3. Suspended	4	80
4. Censured	4	5
5. Privately Censured	0	0
6. Remanded to Grievance Committee	0	0
7. Discontinued	2	2
8. Dismissed	0	0
9. Reinstatements Granted	5	5
10. Reinstatements Denied	0	0
11. Non-Disciplinary Resignation	18	18
12. All Other Dispositions	28	135
13. Total Closed	69	256
E. Total Cases Pending at End of Period	42	108
1. Disciplinary Proceedings	33	52
2. Other	9	56

DATED: January 15, 2014
White Plains, New York

Respectfully Submitted,



GARY L. CASELLA
Chief Counsel



DUTCHESS COUNTY BAR ASSOCIATION
GRIEVANCE COMMITTEE
APPELLATE DIVISION, SECOND DEPARTMENT
NINTH JUDICIAL DISTRICT
January 1, 2013 through December 31, 2013

I. MATTERS PROCESSED:

A. Matters pending on January 1, 2013	<u>7</u>
B. New matters received during period	<u>8</u>
C. Closed matters reactivated during period	<u>0</u>
D. Total matters to be processed during period (A+B+C = D)	<u>15</u>
E. Total matters disposed of during period	<u>9</u>
F. Matters pending on December 31, 2013	<u>6</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

A. Rejected as Failing to State Complaint	<u>0</u>
B. Referred to Other Disciplinary Committees	<u>0</u>
C. Referred to Other Agencies	<u>4</u>
D. Dismissed	<u>8</u>
E. Dismissed with Advisory Language	<u>0</u>
F. Letter of Caution	<u>0</u>
G. Letter of Admonition	<u>0</u>
H. Reprimand	<u>0</u>
I. Total disposed of during period	<u>9</u>

ORANGE COUNTY BAR ASSOCIATION
 GRIEVANCE COMMITTEE
 APPELLATE DIVISION, SECOND DEPARTMENT
 NINTH JUDICIAL DISTRICT
January 1, 2013 through December 31, 2013

I. MATTERS PROCESSED:	
A. Matters pending on January 1, 2013	<u>11</u>
B. New matters received during period	<u>15</u>
C. Closed matters reactivated during period	<u> </u>
D. Total matters to be processed during period (A+B+C = D)	<u>26</u>
E. Total matters disposed of during period	<u>16</u>
F. Matters pending on December 31, 2013	<u>10</u>
II. MATTERS DISPOSED OF BY COMMITTEE:	
A. Rejected as Failing to State Complaint	<u> </u>
B. Referred to Other Disciplinary Committees	<u>2</u>
C. Referred to Other Agencies	<u> </u>
D. Dismissed	<u>11</u>
E. Dismissed with Advisory Language	<u>3</u>
F. Letter of Caution	<u> </u>
G. Letter of Admonition	<u> </u>
H. Reprimand	<u> </u>
I. Total disposed of during period	<u>16</u>

**PUTNAM COUNTY BAR ASSOCIATION
GRIEVANCE COMMITTEE
APPELLATE DIVISION, SECOND DEPARTMENT
NINTH JUDICIAL DISTRICT
January 1, 2013 through December 31, 2013**

I.	MATTERS PROCESSED:	
A.	Matters pending on January 1, 2013.....	4
B.	New matters received during period.....	7
C.	Closed matters reactivated during period.....	0
D.	Total matters to be processed during period..... (A+B+C = D)	11
E.	Total matters disposed of during period.....	5
F.	Matters pending on December 31, 2013	6
II.	MATTERS DISPOSED OF BY COMMITTEE:	
A.	Rejected as Failing to State Complaint.....	0
B.	Referred to Other Disciplinary Committees	1
C.	Referred to other Agencies	0
D.	Dismissed	4
E.	Dismissed with Advisory Language	0
F.	Letter of Caution	0
G.	Letter of Admonition	0
H.	Reprimand	0
I.	Total disposed of during period	5

ROCKLAND COUNTY BAR ASSOCIATION
 GRIEVANCE COMMITTEE
 APPELLATE DIVISION, SECOND DEPARTMENT
 NINTH JUDICIAL DISTRICT
January 1, 2013 through December 31, 2013

I. MATTERS PROCESSED:

A. Matters pending on January 1, 2013	<u>31</u>
B. New matters received during period	<u>15</u>
C. Closed matters reactivated during period	<u>0</u>
D. Total matters to be processed during period (A+B+C = D)	<u>46</u>
E. Total matters disposed of during period	<u>17</u>
F. Matters pending on December 31, 2013	<u>29</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

A. Rejected as Failing to State Complaint	<u>0</u>	
B. Referred to Other Disciplinary Committees	<u>2</u>	(Returned to 9 th Judicial - conflict)
C. Referred to Other Agencies	<u>0</u>	
D. Dismissed	<u>15</u>	
E. Dismissed with Advisory Language	<u>0</u>	
F. Letter of Caution	<u>0</u>	
G. Letter of Admonition	<u>0</u>	
H. Reprimand	<u>0</u>	
I. Total disposed of during period	<u>17</u>	

WESTCHESTER COUNTY BAR ASSOCIATION
GRIEVANCE COMMITTEE
APPELLATE DIVISION, SECOND DEPARTMENT
NINTH JUDICIAL DISTRICT
January 1, 2013 through December 31, 2013

I. MATTERS PROCESSED:

A. Matters pending on January 1, 2013	<u>81</u>
B. New matters received during period	<u>78</u>
C. Closed matters reactivated during period	<u>0</u>
D. Total matters to be processed during period (A+B+C=D)	<u>159</u>
E. Total matters disposed of during period	<u>60</u>
F. Matters pending on December 31, 2013	<u>99</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

A. Rejected as Failing to State Complaint	<u>0</u>
B. Referred to Other Disciplinary Committees	<u>0</u>
C. Referred to Other Agencies	<u>0</u>
D. Dismissed	<u>60</u>
E. Dismissed with Advisory Language	<u>0</u>
F. Letter of Caution	<u>0</u>
G. Letter of Admonition	<u>0</u>
H. Reprimand	<u>0</u>
I. Total disposed of during period	<u>60</u>

UCS-176

ATTORNEY DISCIPLINARY ACTIVITIES

PERIOD COVERED (Annual)
JANUARY - DECEMBER 2013

TENTH JUDICIAL DISTRICT

SECOND DEPARTMENT

I. MATTERS PROCESSED:

A. Matters Pending at Start of Period	1,099	
B. New Matters During Period	1,632	
C. Closed Matters Re-activated During Period	<u>31</u>	
D. Total Matters to be Processed During Period		2,762
E. Total Matters Disposed of During Period		<u>1935</u>
F. Matters Pending at End of Period		827

II. MATTERS DISPOSED OF BY COMMITTEE

	Cases	Matters
A. Rejected as Failing to State a Complaint	830	830
B. Referred to Other Disciplinary Committees	272	272
C. Referred to Other Agencies	111	111
D. Dismissed or Withdrawn	312	312
E. Dismissed through Mediation	48	48
F. Letter of Caution	86	97
G. Letter of Admonition	45	61
H. Reprimand	0	0
I. Referred to Appellate Division (DPs)	40	73
J. Other	<u>75</u>	<u>131</u>
Total Disposed of During Period	1819	1935

III. CASES PROCESSED IN ALL COURTS

A. Cases Pending at Start of Period	<u>100</u>
1. Disciplinary Proceedings	48
2. Other	52
B. Cases Received During Period	<u>116</u>
1. Disciplinary Proceedings	40
2. Other	76
C. Total to be Processed During Period	<u>216</u>

**LOCAL BAR ASSOCIATION ACTIVITIES
JANUARY - DECEMBER 2013**

NASSAU COUNTY BAR ASSOCIATION

A.	Cases Referred to Grievance Committee	25
B.	Cases Referred to Mediation	<u>38</u>
	Total Cases Referred:	63

SUFFOLK COUNTY BAR ASSOCIATION

A.	Cases Referred to Grievance Committee	16
B.	Cases Referred to Mediation	<u>12</u>
	Total Cases Referred:	28

TOTAL CASES REFERRED TO LOCAL BAR ASSOCIATIONS: 91

* Numbers do not include matters referred to Fee Dispute

Third Department

ATTORNEY DISCIPLINARY ACTIVITIESPERIOD COVERED (ANNUAL REPORT 2013)

THIRD DEPARTMENT

I. MATTERS PROCESSED: *

A. Matters Pending at Start of Period	<u>991</u>	
B. New Matters During Period	<u>3021</u>	
C. Closed Matters Reactivated During Period	<u>3</u>	
D. Total Matters to be Processed During Period (A+B+C)		<u>4015</u>
E. Total Matters Disposed of During Period		<u>1360</u>
F. Matters Pending at End of Period		<u>2650</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

	Cases**	Matters
A. Rejected as Failing to State a Complaint	<u>560</u>	<u>634</u>
B. Referred to Other Disciplinary Committees	<u>109</u>	<u>113</u>
C. Referred to Other Agencies	<u>20</u>	<u>21</u>
D. Dismissed or Withdrawn	<u>232</u>	<u>264</u>
E. Dismissed through Mediation	<u>0</u>	<u>0</u>
F. Letter of Caution	<u>39</u>	<u>42</u>
G. Letter of Admonition	<u>36</u>	<u>43</u>
H. Admonition (or Reprimand)	<u>33</u>	<u>36</u>
I. Referred to Appellate Division (Disc. Proc.)	<u>183</u>	<u>207</u>
Total Disposed of During Period (same as I.E above.)	<u>1212</u>	<u>1360</u>

III. CASES PROCESSED IN ALL COURTS:

A. Cases Pending at Start of Period		<u>48</u>
1. Disciplinary Proceedings	<u>242</u>	
2. Other	<u>0</u>	
Cases Received During Period		<u>1938</u>
1. Disciplinary Proceedings	<u>1842</u>	
2. Other	<u>96</u>	
B. Total to be Processed During Period		<u>1986</u>

ATTORNEY DISCIPLINARY ACTIVITIES

C.	Cases Closed	
	1. Disbarred	<u>11</u>
	2. Disciplinary Resignations	<u>0</u>
	3. Suspended***	<u>20</u>
	4. Censured	<u>4</u>
	5. Privately Censured	<u>0</u>
	6. Remanded to Grievance Committee	<u>1</u>
	7. Discontinued	<u>0</u>
	8. Dismissed	<u>3</u>
	9. Reinstatements Granted	<u>25</u>
	10. Reinstatements Denied	<u>1</u>
	11. Non-Disciplinary Resignations	<u>96</u>
	12. All Other Dispositions	<u>23</u>
	13. Total closed	<u>184</u>
E.	Total Cases Pending at End of Period	<u>1816</u>
	1. Disciplinary Proceedings	<u>1816</u>
	2. Other	<u>0</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (Excluding telephone inquiries)
3. Sua Sponte investigations

* Matters represents individual complaints and inquiries.

** Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

*** Includes definite, interim and indefinite suspensions.

Fourth Department

ATTORNEY DISCIPLINARY ACTIVITIES**PERIOD COVERED (01-01-2013 to 12-31-2013)****5TH JUDICIAL DISTRICT****FOURTH DEPARTMENT****I. MATTERS PROCESSED:***

A.	Matters Pending at Start of Period	<u>71</u>	
B.	New Matters During Period	<u>554</u>	
C.	Closed Matters Reactivated During Period	<u>7</u>	
D.	Total Matters to be Processed During Period (A+B+C)		<u>632</u>
E.	Total Matters Disposed of During Period		<u>530</u>
F.	Matters Pending at End of Period		<u>102</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

		Cases**	Matters
A.	Rejected as Failing to State a Complaint	<u>273</u>	<u>301</u>
B.	Referred to Other Disciplinary Committees	<u>22</u>	<u>23</u>
C.	Referred to Other Agencies	<u>1</u>	<u>1</u>
D.	Dismissed or Withdrawn	<u>112</u>	<u>120</u>
E.	Dismissed through Mediation	<u>0</u>	<u>0</u>
F.	Letter of Caution	<u>47</u>	<u>52</u>
G.	Letter of Admonition	<u>1</u>	<u>1</u>
H.	Admonition (or Reprimand)		
I.	Referred to Appellate Division (Disc. Proc.)	<u>15</u>	<u>32</u>
	Total Disposed of During Period (same as I.E above)	<u>471</u>	<u>530</u>

III. CASES PROCESSED IN ALL COURTS:**

A.	Cases Pending at Start of Period		<u>7</u>
1.	Disciplinary Proceedings	<u>6</u>	
2.	Other	<u>1</u>	
B.	Cases Received During Period		<u>15</u>
1.	Disciplinary Proceedings	<u>10</u>	
2.	Other	<u>5</u>	
C.	Total to be Processed During Period		<u>22</u>

ATTORNEY DISCIPLINARY ACTIVITIES

D.	Cases Closed	
1.	Disbarred	<u>3</u>
2.	Disciplinary Resignations	<u>0</u>
3.	Suspended***	<u>6</u>
4.	Censured	<u>1</u>
5.	Privately Censured	<u>0</u>
6.	Remanded to Grievance Committee	<u>2</u>
7.	Discontinued	<u>2</u>
8.	Dismissed	<u>0</u>
9.	Reinstatements Granted	<u>2</u>
10.	Reinstatements Denied	<u>0</u>
11.	Non-Disciplinary Resignations	<u>0</u>
12.	All Other Dispositions	<u>0</u>
13.	Total closed	<u>16</u>
E.	Total Cases Pending at End of Period	<u>6</u>
1.	Disciplinary Proceedings	<u>4</u>
2.	Other	<u>2</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (**Excluding telephone inquiries**)
3. Sua Sponte investigations

* Matters represents individual complaints and inquiries.

** Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

*** **Includes definite, interim and indefinite suspensions.**

ATTORNEY DISCIPLINARY ACTIVITIES**PERIOD COVERED (01-01-2013 to 12-31-2013)****7TH JUDICIAL DISTRICT****FOURTH DEPARTMENT****I. MATTERS PROCESSED:***

A.	Matters Pending at Start of Period	<u>118</u>	
B.	New Matters During Period	<u>566</u>	
C.	Closed Matters Reactivated During Period	<u>6</u>	
D.	Total Matters to be Processed During Period (A+B+C)		<u>690</u>
E.	Total Matters Disposed of During Period		<u>549</u>
F.	Matters Pending at End of Period		<u>141</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

		Cases**	Matters
A.	Rejected as Failing to State a Complaint	<u>236</u>	<u>285</u>
B.	Referred to Other Disciplinary Committees	<u>49</u>	<u>49</u>
C.	Referred to Other Agencies	<u>0</u>	<u>0</u>
D.	Dismissed or Withdrawn	<u>115</u>	<u>126</u>
E.	Dismissed through Mediation	<u>0</u>	<u>0</u>
F.	Letter of Caution	<u>40</u>	<u>44</u>
G.	Letter of Admonition	<u>3</u>	<u>3</u>
H.	Admonition (or Reprimand)		
I.	Referred to Appellate Division (Disc. Proc.)	<u>26</u>	<u>42</u>
	Total Disposed of During Period (same as I.E above)	<u>469</u>	<u>549</u>

III. CASES PROCESSED IN ALL COURTS:**

A.	Cases Pending at Start of Period		<u>10</u>
	1. Disciplinary Proceedings	<u>7</u>	
	2. Other	<u>3</u>	
B.	Cases Received During Period		<u>26</u>
	1. Disciplinary Proceedings	<u>7</u>	
	2. Other	<u>19</u>	
C.	Total to be Processed During Period		<u>36</u>

ATTORNEY DISCIPLINARY ACTIVITIES

D.	Cases Closed	
1.	Disbarred	<u>1</u>
2.	Disciplinary Resignations	<u>2</u>
3.	Suspended***	<u>6</u>
4.	Censured	<u>1</u>
5.	Privately Censured	<u>0</u>
6.	Remanded to Grievance Committee	<u>1</u>
7.	Discontinued	<u>1</u>
8.	Dismissed	<u>0</u>
9.	Reinstatements Granted	<u>2</u>
10.	Reinstatements Denied	<u>0</u>
11.	Non-Disciplinary Resignations	<u>6</u>
12.	All Other Dispositions	<u>3</u>
13.	Total closed	<u>23</u>
E.	Total Cases Pending at End of Period	<u>13</u>
1.	Disciplinary Proceedings	<u>9</u>
2.	Other	<u>4</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (**Excluding telephone inquiries**)
3. Sua Sponte investigations

* Matters represents individual complaints and inquiries.

** Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

*** **Includes definite, interim and indefinite suspensions.**

ATTORNEY DISCIPLINARY ACTIVITIES**PERIOD COVERED (01-01-2013 to 12-31-2013)****8TH JUDICIAL DISTRICT****FOURTH DEPARTMENT****I. MATTERS PROCESSED:***

A.	Matters Pending at Start of Period	<u>277</u>
B.	New Matters During Period	<u>639</u>
C.	Closed Matters Reactivated During Period	<u>8</u>
D.	Total Matters to be Processed During Period (A+B+C)	<u>924</u>
E.	Total Matters Disposed of During Period	<u>692</u>
F.	Matters Pending at End of Period	<u>232</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

	Cases**	Matters
A.	<u>324</u>	<u>369</u>
B.	<u>21</u>	<u>22</u>
C.	<u>0</u>	<u>0</u>
D.	<u>181</u>	<u>194</u>
E.	<u>0</u>	<u>0</u>
F.	<u>59</u>	<u>70</u>
G.	<u>9</u>	<u>14</u>
H.		
I.	<u>18</u>	<u>23</u>
	<u>612</u>	<u>692</u>
	Total Disposed of During Period (same as I.E above)	

III. CASES PROCESSED IN ALL COURTS:**

A.	Cases Pending at Start of Period	<u>17</u>
	1. Disciplinary Proceedings	<u>11</u>
	2. Other	<u>6</u>
B.	Cases Received During Period	<u>18</u>
	1. Disciplinary Proceedings	<u>8</u>
	2. Other	<u>10</u>
C.	Total to be Processed During Period	<u>35</u>

ATTORNEY DISCIPLINARY ACTIVITIES

D.	Cases Closed	
1.	Disbarred	<u>2</u>
2.	Disciplinary Resignations	<u>2</u>
3.	Suspended***	<u>6</u>
4.	Censured	<u>3</u>
5.	Privately Censured	<u>0</u>
6.	Remanded to Grievance Committee	<u>3</u>
7.	Discontinued	<u>2</u>
8.	Dismissed	<u>1</u>
9.	Reinstatements Granted	<u>2</u>
10.	Reinstatements Denied	<u>0</u>
11.	Non-Disciplinary Resignations	<u>0</u>
12.	All Other Dispositions	<u>4</u>
13.	Total closed	<u>25</u>
E.	Total Cases Pending at End of Period	<u>10</u>
1.	Disciplinary Proceedings	<u>7</u>
2.	Other	<u>3</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (**Excluding telephone inquiries**)
3. Sua Sponte investigations

* Matters represents individual complaints and inquiries.

** Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

*** **Includes definite, interim and indefinite suspensions.**

**Attorney Discipline Budget
Appropriations and
Salaries of Disciplinary Staff**

ATTORNEY DISCIPLINE - 1ST DEPT

Title Description	Grade	Salary	Category
Chf Attorney, Grvnc Com 1st	560	144,061	ATT
Dep Chf Attorney	532	141,840	ATT
Dep Chf Attorney	532	141,840	ATT
Dep Chf Attorney	532	141,840	ATT
Pm Attorney	531	137,498	ATT
Dep Chf Attorney	532	135,559	ATT
Pm Attorney	531	133,287	ATT
Pm Attorney	531	133,287	ATT
Pm Attorney	531	129,076	ATT
Pm Attorney	531	129,076	ATT
Pm Attorney	531	129,076	ATT
Special Projects Counsel	560	129,000	ATT
Pm Attorney	531	127,914	ATT
Pm Attorney	531	124,865	ATT
Pm Attorney	531	119,236	ATT
Pm Attorney	531	112,232	ATT
Pm Attorney	531	112,232	ATT
Asc Local Area Network Admnr	525	102,840	ADM
Snr Court Analyst	521	84,227	ADM
Snr Court Analyst	521	84,227	ADM
Snr Court Analyst	521	81,346	ADM
Snr Court Analyst	521	77,285	ADM
Court Analyst	518	72,529	ADM
Court Analyst	518	72,529	ADM
Court Analyst	518	72,529	ADM
Admrv Secretary	517	68,759	ADM
Court Analyst	518	67,473	ADM
Ast Court Analyst	516	65,177	ADM
Ast Court Analyst	516	62,880	ADM
Ast Court Analyst	516	60,583	ADM
Court Analyst	518	59,889	ADM
Ast Court Analyst	516	57,100	ADM
Secretary	514	56,587	ADM
Secretary	514	56,587	ADM
Law Stenographer	514	48,179	ADM
Secretary	514	46,077	ADM

2013-14 Budget - Attorney Discipline 1st Dept. Total:

Personal Service	4,031,792
Nonpersonal Service	237,500
Total	4,269,292

ATTORNEY DISCIPLINE - 2ND DEPT

Second & Eleventh District			
Title Description	Grade	Salary	Category
Dep Chf Attorney	532	141,840	ATT
Chf Attorney	560	140,038	ATT
Prn Attorney	531	137,498	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	129,076	ATT
Snr Local Area Network Admnr	523	89,914	ADM
Snr Court Analyst	521	84,227	ADM
Snr Attorney	526	83,269	ATT
Snr Court Analyst	521	75,584	ADM
Court Analyst	518	70,001	ADM
Ast Court Analyst	516	62,880	ADM
Ast Court Analyst	516	60,583	ADM
Secretary	514	54,485	ADM

Ninth District			
Title Description	Grade	Salary	Category
Dep Chf Attorney	532	144,243	ATT
Chf Attorney	560	142,899	ATT
Prn Attorney	531	137,498	ATT
Prn Attorney	531	137,498	ATT
Prn Attorney	531	137,498	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	129,076	ATT
Prn Court Analyst	523	93,049	ADM
Snr Court Analyst	521	75,584	ADM
Court Analyst	518	70,001	ADM
Ast Court Analyst	516	62,880	ADM
Secretary	514	58,689	ADM
Secretary	514	58,689	ADM
Secretary	514	54,485	ADM
Secretary	514	52,383	ADM
Secretary	514	52,383	ADM

ATTORNEY DISCIPLINE - 2ND DEPT

Tenth District			
Title Description	Grade	Salary	Category
Dep Chf Attorney	532	139,901	ATT
Chf Attorney	560	138,000	ATT
Prn Attorney	531	137,498	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	133,287	ATT
Prn Attorney	531	129,076	ATT
Prn Attorney	531	129,076	ATT
Prn Attorney	531	124,865	ATT
Prn Attorney	531	124,865	ATT
Prn Attorney	531	109,631	ATT
Prn Attorney	531	108,021	ATT
Prn Court Analyst	523	93,049	ADM
Snr Court Analyst	521	81,346	ADM
Court Analyst	518	72,529	ADM
Ast Court Analyst	516	60,583	ADM
Law Stenographer	514	58,689	ADM
Secretary	514	54,485	ADM
Secretary	514	50,281	ADM
Snr App Office Assistant	509	41,185	ADM
Snr App Office Assistant	509	40,844	ADM

Special Counsel			
Title Description	Grade	Salary	Category
Dep Chf Attorney	532	144,243	ATT
Spec Cnsl Griev Matters Ap 2nd	560	142,232	ATT
Secretary	514	54,485	ADM

2013-14 Budget - Attorney Discipline 2nd Dept. Total:	
Personal Service	6,025,695
Nonpersonal Service	844,125
Total	6,869,820

ATTORNEY DISCIPLINE - 3RD DEPT

Title Description	Grade	Salary	Category
Chf Attorney, Grvnc Com	560	140,000	ATT
Dep Chf Attorney	532	135,559	ATT
Pm Attorney	531	129,076	ATT
Asc Attorney	528	98,692	ATT
Asc Attorney	528	84,897	ATT
Pm Court Analyst	523	93,049	ADM
Snr Court Analyst	521	81,346	ADM
Ast Court Analyst	516	60,583	ADM
Secretary	514	50,281	ADM
Secretary	514	50,281	ADM
Secretary	514	48,179	ADM
Secretary	514	54,485	ADM
Pm App Office Assistant	513	51,367	ADM

2013-14 Budget - Attorney Discipline 3rd Dept. Total:	
Personal Service	\$974,135
Nonpersonal Service	\$180,133
Total	\$1,154,268

ATTORNEY DISCIPLINE - 4TH DEPT

Title Description	Grade	Salary	Category
Chf Attorney, Grvnc Com	560	143,823	ATT
Pm Attorney	531	137,498	ATT
Pm Attorney	531	137,498	ATT
Pm Attorney	531	137,498	ATT
Pm Attorney	531	137,498	ATT
Pm Attorney	531	133,287	ATT
Asc Attorney	528	115,233	ATT
Asc Attorney	528	102,484	ATT
Snr Court Analyst	521	84,227	ADM
Snr Court Analyst	521	81,346	ADM
Snr Court Analyst	521	78,465	ADM
Court Analyst	518	67,473	ADM
Ast Court Analyst	516	62,880	ADM
Ast Court Analyst	516	60,583	ADM
Ast Court Analyst	516	60,583	ADM
Secretary	514	56,587	ADM
Secretary	514	56,587	ADM
Court Analyst	518	54,833	ADM
Secretary	514	52,383	ADM
Secretary	514	41,873	ADM

2013-14 Budget - Attorney Discipline 4th Dept. Total:

Personal Service	1,724,219
Nonpersonal Service	325,416
Total	2,049,635