INTERNATIONAL SECTION

Australian Chapter

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SEMINAR INVITATION

The Australian Chapter of the New York State Bar Association's International Section cordially invites members of NYSBA to our inaugural evening Seminar.

Emerging Developments in Securities Actions in New York: An Insider's Perspective

Speaker: Gene Phillips, Director PF2, New York (bio below)

Date: Wednesday 19 October 2016

Time: 5.00pm-7.00pm (including networking drinks)

Location: Johnson Winter Slattery, 20 Bond Street, Sydney

RSVP: <u>Alexandra.Jackson@jws.com.au</u>

There is no charge for this event. However there is a room limit of 50 and places will be allocated on a first come first served basis.

About Our Speaker:

Gene Phillips is a director of PF2, an independent consulting firm based in New York, with offices in Los Angeles and Sydney, specialising in financial markets litigation. Prior to joining PF2, Gene worked at a hedge fund within Citigroup, and before that at Moody's. He has published widely in relation to aspects of financial market practice and reform. PF2 has been at the forefront of credit and debt market disputes, often in relation to pricing/valuation concerns or issues of adequate disclosure in the trading, allocation or rating of credit instruments or funds. Their work has spanned the fixed income, commodities and currency spaces, and includes modeling of structured finance securities (MBS; CDOs; SIVs), investigating market structures (high frequency trading algos; broker behaviour) and quantifying alleged market misconduct (front-running; benchmark manipulation; trading execution). This presentation will draw

upon the past experience of PF2 and look at a range of new actions and problems which are emerging on Wall Street, that will be of interest to all commercial and litigation lawyers interested in securities actions.

FAQS

What is the Australian Chapter of the International Section of the New York State Bar Association?

The Australian Chapter is one of 65 national chapters which form part of the International Section of the New York State Bar Association. Collectively NYSBA has almost 75,000 members mostly based in New York State. The International Section is a community of lawyers interested in the practice of international law from a New York perspective.

Do I have to be admitted to the New York Bar to be a member of NYSBA or the Australian Chapter?

No. NYSBA welcomes all legal practitioners admitted and practicing in their home jurisdiction, who are interested in the practice of law in New York and internationally.

How do I join the International Section of NYSBA?

As a member of NYSBA you can be a member of multiple Sections. We strongly encourage members in Australia to join the International Section and support the work of the Australian Chapter, as well as your other Sections. As a member of the International Section you will receive publications produced by the Section including the *New York International Law Review* and the *International Law Practicum*, as well as invitations to Australian Chapter events.

How do I join the Australian Chapter?

As a member of NYSBA and in particular the International Section we will add your name to our mailing list. No other formality is required. At present there are several hundred NYSBA members in Australia. We look forward to your feedback and suggestions about how the Chapter can and should develop to better serve the needs and interests of the Australian members of NYSBA and the International Section.

How can I participate in the work of the International Section and the Australian Chapter?

The best way to participate is to attend events and make contacts with other members of the Section and the Chapter. The signature event for the Section each year is its "Seasonal Meeting", held in an overseas city each October, and is attended by Section members from around the world. This event was held in Sydney in 2010 and this year will be held in Paris.

The Section also has a range of Committees which members can join, that are set out on the NYSBA website, and are comprised of practitioners from New York and around the world.

What are the future plans for the Australian Chapter?

We are looking to hold two major Seminars each year on a topic of interest relating to New York law and practice, or that of international law from a New York perspective. If you know of a potential speaker, particularly visiting from New York, please let us know.

In addition, we hope to hold at least one social mixer each year, so that members of the Section can meet informally, network and help build an Australian community of NYSBA members. We will advise details in future emails.