ANNUAL MEETING 2018

Business Law Section & Corporate Counsel Section Annual Meeting

January 24, 2018 | New York Hilton Midtown | NYC





Business Law Section Executive Committee Meeting

7:30 a.m. | Madison, 2nd Floor

Corporate Counsel Section Executive Committee Meeting, Election of Officers, Committee Members and Delegates

8:00 a.m. | Hudson, 4th Floor

Morning MCLE Program

9:00 a.m. | Regent, 2nd Floor

Reception and Luncheon

12:30 p.m. | Murray Hill East, 2nd Floor

Business Law Section Committee Meetings

1:45 p.m. and 3:30 p.m. \mid Details at www.nysba.org/ BLSCommitteesAM18

SECTION CHAIRS:

Kathleen A. Scott, Esq., Norton Rose Fulbright US LLP, New York (Business Law Section)

Elizabeth J. Shampnoi, Esq., Shampnoi Dispute Resolution & Management Services, Inc., New York (Corporate Counsel Section)

PROGRAM CHAIRS:

Peter W. LaVigne, Esq., Goodwin Procter LLP, New York (Business Law Section)

Jessica D. Thaler-Parker, Esq., Credit Suisse, New York (Corporate Counsel Section)

9:00-9:15 a.m.

Welcoming Remarks

Kathleen A. Scott, Esq., Norton Rose Fulbright US LLP, New York

Elizabeth J. Shampnoi, Esq., Shampnoi Dispute Resolution & Management Services, Inc., New York (Corporate Counsel Section) 9:15-10:05 a.m.

Whistleblowers, Reporting Up, and the Professional Rules of Ethics (1.0 credit in Ethics & Professionalism)

With the expanding reach of information and the economic times in which we live, job safety and professional responsibility may be at odds. Our panelists will discuss the ethical rules protecting whistleblowers, the rules for "reporting up," and an attorney's professional and ethical obligations in such instances.

Greg Keating, Esq., Choate, Boston, MA

Prof. Bruce A. Green, Louis Stein Center for Law and Ethics, Fordham Law School, New York

Kathleen A. Scott, Esq., Norton Rose Fulbright US LLP, New York

Pery D. Krinsky, Esq., Krinsky, PLLC, New York

10:10-10:35 a.m

FCPA Compliance – A Case Study (0.5 credit in Areas of Professional Practice)

What is the Foreign Corrupt Practices Act and how does it impact financial institution? Our panelists will provide an overview of the Act and discuss some of its nuances and applications within the financial industry.

Thomas Joseph Koffer, Esq., Credit Suisse, New York Derek A. Cohen, Esq., Goodwin Procter LLP, New York Peter W. LaVigne, Esq., Goodwin Procter LLP, New York

10:40-11:05 a.m.

IBM Watson and the future of compliance systems (0.5 credit in Law Practice Management)

IBM has developed a cloud solution that streamlines the process of managing regulatory compliance. Our panelists will discuss how it works and how it assists attorneys and their companies with management, oversight and deployment of their compliance programs.

Grace Brasington, IBM Corporation, Armonk **Peter W. LaVigne, Esq.**, Goodwin Procter LLP, New York

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11:05-11:15 a.m.

Break

11:15 a.m.-12:30 p.m.

Compliance Officers: Heroes or Scapegoats – The Rigors of Compliance (1.5 credits in Areas of Professional Practice)

With the ever-changing regulatory landscape, more and more has fallen to compliance officers. Our panelists will discuss the often tough position they are in, especially when there are violations of regulations or policies, and how they can benefit or protect an organization.

Maura A. King, Esq., King Compliance Services LLC, Rhinebeck

Darian M. Futrell, Esq., Goldman Sachs, New York

Julie DiMauro, Esq., Thomson Reuters, New York

Jessica D. Thaler-Parker, Esq., Credit Suisse, New York

Business Law and Corporate Counsel Section members are invited to attend programs hosted by committees of the Business Law Section.

For committee meeting details and registration, please visit www.nysba.org/BLSCommitteesAM18.

1:45-3:15 p.m.

Committee Session 1 (choose one)

- Banking Law Committee
- **Business Organizations Law Committee**
- Not-for-Profit Corporations Law Committee
- Technology & Venture Law Committee

3:30-5:00 p.m.

Committee Session 2 (choose one)

- Bankruptcy Law Committee
- Franchise, Distribution & Licensing Law Committee
- Mergers & Acquisition Committee
- Securities Regulation Committee

Register Online www.nysba.org/AM2018 | Get Social: #NYSBA18



IMPORTANT INFORMATION

Under New York's MCLE rule, this program has been approved for a total of 3.5 credit hours (1.0 in Ethics and Professionalism, 2.0 in Areas of Professional Practice, and 0.5 in Law Practice Management). This program will NOT qualify for credit for newly-admitted attorneys because it is not a basic practical skills program.

Discounts and Scholarships: New York State Bar Association members and non-members may apply for a discount or scholarship to attend this program, based on financial hardship. This discount applies to the educational portion of the program only. Under this policy, any member of our Association or non-member who has a genuine basis for their hardship, if approved, can receive a discount or scholarship, depending on the circumstances. Request for discounts or scholarships must be received prior to January 13, 2017. For more details, please contact Stephanie Bugosin writing at New York State Bar Association, One Elk Street, Albany, New York 12207 or sbugos@nysba.org.



Accommodations for Persons with Disabilities: NYSBA welcomes participation by individuals with disabilities. NYSBA is committed to complying with all applicable laws that prohibit discrimination against individuals on the basis of disability in the full and equal enjoyment of its goods, services, programs, activities, facilities, privileges, advantages, or accommodations. To request auxiliary aids or services or if you have any questions regarding accessibility, please contact Stephanie Bugos at 518-487-5524.



For overnight room accommodations, please call the New York Hilton Midtown at 1-800-445-8667 and identify yourself as a member of the New York State Bar Association or on the web at www.nysba.org/am18accomm. The rate will be based on room selection (single/double occupancy) and arrival/departure dates with additional taxes and hotel fees. The discounted rate for January 21st and January 22nd is \$179 per night. The discounted rate for January 23rd through January 28th is \$229 per night. A rate of \$209 will be offered to those with overlapping dates. Rates and availability are not guaranteed after December 29, 2017

