APPENDIX B

FACT GATHERING—USE OF CHECKLISTS

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I. OBJECTIVES CHECKLIST

To determine client's main objectives for estate plan and the relative importance of each of these objectives.

A. Objectives for Client and Client's Spouse

1. Personal care during disability or old age.
2. Managing assets during disability or old age.
3. Securing advice for current management of assets.
4. Minimizing current income taxes.
5. Arranging for guardians for any of the client's minor children.
6. Arranging for disposition or continued management of family business after disability, retirement or death.
7. Changing residence to another jurisdiction—double domicile problems.
8. Making gifts to family members.
9. Establishing asset management for children.
10. Providing financial care for client's parents.
11. Insuring that family assets remain in family.
12. Making gifts to charities.
13. Purchasing additional life insurance.
14. Purchasing insurance to supplement income in case of disability.
15. Concern for health care decisions if gravely ill.
16. Concern for funeral arrangements and donation of bodily organs.
17. Minimizing estate and inheritance taxes and administration expenses at death.

B. Objectives under Client's Will

1. Selection of executor, trustee and successor fiduciaries.

2. Disposition of valued personal effects to spouse.